



PATENT
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NO. 2003P08213 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Caspi, et al.

Serial No.: 10/672,902

Filed: September 26, 2003

Title: SYSTEM AND METHOD FOR
FAILSAFE PRESENCE
MONITORING

Group Art Unit: 2686

Examiner: Holliday

Certificate of First Class Mailing

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Date: January 10, 2006

By: 
Jeanette L. Taplin

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97 (b),
(Within three months of either ☐ the filing date of a national application other
than a continued prosecution application under 37 CFR 1.53(d) or ☐ the
date of national stage entry of international application; or ☐ before mailing
date of first office action on the merits; or ☐ before mailing date of a first
office action after filing of a request for continued examination under 37 CFR
1.114).
- ☒ **under 37 CFR 1.97 (c) together with either a:**
☐ Certification under 37 CFR 1.97 (e), OR
☒ **the \$ 180.00 fee under 37 CFR 1.17 (p) authorized to be charged to
Deposit Account 19-2179 . At any time during the pendency of this
application, please charge any fees required or credit any overpayment
to Deposit Account 19-2179 pursuant to 37 CFR 1.25. (After the 37 CFR
1.97 (b) time period, but before mailing date of any of ☒ a final action
under 37 CFR 1.113, or ☐ a notice of allowance under 37 CFR 1.311, or
☐ an action that otherwise closes prosecution).**
- ☐ under 37 CFR 1.97 (d) together with a:
☐ Certification under 37 CFR 1.97 (e), AND
☐ the \$ 180.00 fee under 37 CFR 1.17(p) authorized to be charged to
Deposit Account 19-2179 . At any time during the pendency of this
application, please charge any fees required or credit any overpayment to
Deposit Account 19-2179 pursuant to 37 CFR 1.25.
(After the 37 CFR 1.97 (c) time period, but ☐ on or ☐ before payment of the
issue fee).
- ☐ under 37 CFR 1.97 (i) (Filed after time period of 37 CFR 1.97 (d) or not in
compliance with 37 CFR 1.98 - No fee or certification required; references to
be placed in file but not considered).

Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Citation which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56:

☐ together with hard copies of all references (e.g. if this application was filed before June 30, 2003); or

☒ with hard copies, if any, of only non-U.S. patents or non-U.S. published patent applications or U.S. pending unpublished patent applications (or portion thereof) or publications or other information of which applicant(s) are aware and which should be made of record in the above-identified application; or

☐ without hard copies of publications or other information which have been submitted previously in the parent application (application no. _____) to which the above-identified application claims priority under 35 USC 120 and which should be made of record in the above-identified application.

37 CFR 1.97(e) Certifications:

☐ The undersigned hereby certifies under **37 CFR 1.97(e)(1)** that each item of information contained in the information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; **OR**

☐ The undersigned certifies under **37 CFR 1.97(e)(2)** that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

☐ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content that is given on ☐ the attached separate sheet or ☐ incorporated in the applicant's specification or ☐ by the enclosed English-language translation or portion thereof within the possession, custody, or control of, or is readily available to any individual designated in 37 CFR 1.56 (c).

☐ Applicant does not believe any fee is due. However, at any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 19-2179 pursuant to 37 CFR 1.25. A duplicate copy of this sheet is enclosed.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made or that no other material information exists. Further, the filing of this information disclosure statement shall not be construed as an admission against interest in any manner or as an admission that the information cited is or is considered to be material to patentability.

Date: 10 Jan. 06

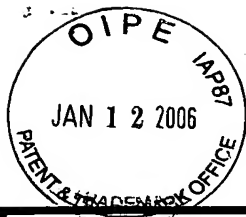
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

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of

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Complete if Known

Application Number	10/672,902
Filing Date	September 26, 2003
First Named Inventor	Caspi et al.
Group Art Unit	2681
Examiner Name	Cai
Attorney Docket Number	2003P08213US

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD- YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				

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Signature

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.